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1c945 U.S. PTO

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PTO/SB/16 (2-98)

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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53 (c).

| INVENTOR(S) | | | | | |
|--|--|--|--|--|--|
| Given Name (first and middle [if any]) | | Family Name or Surname | | Residence (City and either State or Foreign Country) | |
| Yi Boris | | Hu Nepomnichy | | The Woodlands, TX The Woodlands, TX | |
| <input type="checkbox"/> Additional inventors are being named on the <u> </u> separately numbered and attached hereto | | | | | |
| TITLE OF THE INVENTION (280 characters max) | | | | | |
| Novel Human Transporter Proteins and Polynucleotides Encoding the Same | | | | | |
| CORRESPONDENCE ADDRESS | | | | | |
| Direct all correspondence to: | | | | | |
| <input checked="" type="checkbox"/> Customer Number <input type="checkbox"/> OR Firm or Individual | | <input type="text"/> Typed Customer Number here | | 24231 | |
| Address | | Address | | | |
| City | | State | | ZIP | |
| Country | | Telephone | | Fax | |
| ENCLOSED APPLICATION PARTS (check all that apply) | | | | | |
| <input checked="" type="checkbox"/> Specification Number of pages | | <input type="text"/> 25 | | <input checked="" type="checkbox"/> Small Entity Statement-executed | |
| <input type="text"/> Drawing(s) Number of sheets | | <input type="text"/> | | <input checked="" type="checkbox"/> Other (specify) <u>return postcard; sequence listing</u> | |
| METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT (check one) | | | | | |
| <input type="checkbox"/> A Check or money order is enclosed to cover the filing fees | | <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: | | FILING FEE AMOUNT(\$) 75.00 | |
| <input type="text"/> 50-0892 | | | | | |
| The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government. <input checked="" type="checkbox"/> No. <input type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: | | | | | |

Respectfully submitted

SIGNATURE

Date

10/10/00

TYPED or PRINTED NAME Lance K. IshimotoREGISTRATION NO.
(if appropriate)

41866

Docket Number:

LEX-0250-PRV

TELEPHONE (281) 362-6554

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting and complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D. C. 20231.

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60/239629

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**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) -- SMALL BUSINESS CONCERN**

Docket Number (Optional)
LEX-0250-PRV

Applicant, Patentee, or Identifier: Yi Hu and Boris Nepomnichy

Application or Patent No.: _____

Filed or Issued: October 10, 2000

Title: Novel Human Transporter Proteins and Polynucleotides Encoding the Same

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Lexicon Genetics Incorporated

ADDRESS OF SMALL BUSINESS CONCERN 4000 Research Forest Drive The Woodlands, TX 77381

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ No such persons, concerns, or organizations exist.
☐ each such person, concern, or organization is listed below:

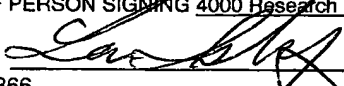
Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Lance K. Ishimoto

TITLE OF PERSON IF OTHER THAN OWNER Vice President - Intellectual Property

ADDRESS OF PERSON SIGNING 4000 Research Forest Drive, The Woodlands, TX 77381

SIGNATURE 
Reg. No. 41,866

DATE October 10, 2000